

# TEST REPORT

# ANSI/CAN/UL 9540A:2019

### TÜV SÜD Test Report for Test Method for Evaluating Thermal Runaway Fire Propagation in Battery Energy Storage Systems on Module Level

		gj			
Report No.:		5061924025705			
Date of issue:		2024-03-19			
Project handler:		You, Duo			
Testing laboratory:		Chuweineng Testir	ng Technology (Sh	anghai) Co	o., Ltd.
Address:		Building 3, No. 106	5, Beihe Road, Jia	ading Distri	ct, Shanghai
Testing location:		as above			
Client:		Shanghai PYTES E	Energy Co., Ltd.		
Client number:		003364			
Address:		No. 3492 Jinqian R	oad, Qingcun Tow	n, Fengxiar	n District, Shanghai, China
Contact person:		Yang, Lijuan			
Standard:		ANSI/CAN/UL 9540	0A:2019 Fourth Ed	ition (4Ed)	
TRF number and rev	ision <i>:</i>	TRF ANSI/CAN/UL 9540A:2019 Rev 0			
TRF originated by:		TÜV SÜD Product Service			
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Scheme:		TUV Mark	□ cTUV Mark (S	SCC)	□ TUVus Mark (NRTL)
		□ GS Mark	X without certification		⊠ other:TÜV SÜD Test Report from witness test
		AoC/CoC for EU-Directive / EU-Regulation:			
Non-standard test m	ethod:	⊠ No □ Yes, see details under <i>Summary of testing</i>			
National deviations:		N/A			
Number of pages (Report):		36			
Number of pages ( <i>Attachments</i> ):		9			
Compiled by:	You, Duo	Jou Duo	Approved by: (Designated	Frank, Ma	Τΰν
(Project Handler)		2024.03.19	Reviewer)		SUD 2014.03.19

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Test sample:	Rechargeable Li-ion Battery
Type of test object:	Protype Sample
Trademark:	Pyt∂s
Model and/ or type reference:	HV48100 BMU
Rating(s):	51.2Vd.c., 100Ah

Manufacturer:	Shanghai PYTES Energy Co., Ltd.		
Manufacturer number:	003364		
Address:	No. 3492 Jinqian Road, Qingcun Town, Fengxian District, Shanghai, China		
Name and address of factory(ies)			
Shanghai PYTES Energy Co., Ltd.			
No. 3492 Jinqian Road, Qingcur	n Town, Fengxian District, Shanghai, China		

Sub-contractors / tests (clause):	N/A
Name:	N/A
	☑ Complete test according to TRF
	□ Partial test according to manufacturer's specifications
Order description:	Preliminary test
	□ Spot check
	□ Others:
Date of order:	2024-01-10
Date of receipt of test item:	2024-01-26, Storix-ID: ES1000437P00103
Date(s) of performance of test:	2024-01-29 to 2024-01-31

#### Test item particulars:

According to Module Level of ANSI/CAN/UL 9540A:2019 Fourth Edition.

#### Purpose of the product (description of intended use):

Rechargeable Li-ion Battery model HV48100 BMU uses in Battery Energy Storage Systems.

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Characteristic data (not shown on the marking plate):				
Product name	HV48100 BMU			
Type/model	Module			
Nominal voltage	51.2 V			
Rated capacity	100 Ah			
Charging voltage specified by manufacturer	56.8 V			
Upper limit charging voltage	57.6 V			
Charging current specified by manufacturer	50 A			
Maximum continuous charging current	50 A			
Discharging current specified by manufacturer	50 A			
Maximum continuous discharging current	50 A			
End of discharge voltage	45.5 V			
Standard temperature range for charging	0 ~ 57 °C			
Standard temperature range for discharging	-18 ~ 57 °C			
Standard charging method specified by manufacturer	Charge at constant current 50 A until the voltage reaches 57.6 V			
Standard discharging method specified by manufacturer	Discharge at constant current 50A until the voltage reaches 45.5 V			
Dimension	424*530*140 mm			
Weight	43.6 kg			
Number of cells in module and module configuration	16 cells; 1P16S			

#### Attachments:

Attachment 1: Exploding drawing of module & Identification/location of cells within the module Attachment 2: Pre-conditioning profile Attachment 3: Photo for sample before test and test setup with thermocouple location Attachment 4: Photo for sample after test Attachment 5: Monitored voltage and temperature chart Attachment 6: Flammable gas generation and composition data chart Attachment 7: Heat release rate versus time data chart Attachment 8: Peak smoke release rate and total smoke release data chart Attachment 9: Summary of Heat release rate & Peak smoke release rate and total smoke release data

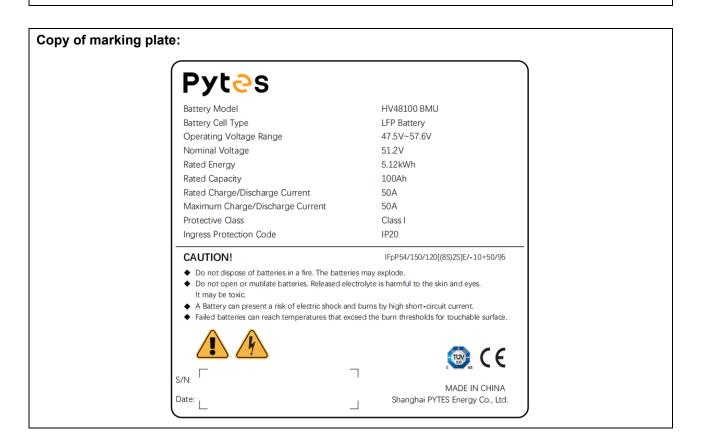
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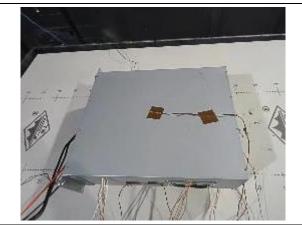


# If additional information is necessary, please provide $\ensuremath{\mathsf{N/A}}$



#### **Pictures of the product:**

Rechargeable Li-ion Battery, which ratings is 51.2 Vd.c., 100 Ah, is used in energy storage systems. Top and internal view of module:





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Summary of testing:	
Module model number	HV48100 BMU
Nominal voltage and rated capacity	51.2 Vd.c., 100 Ah
Number of cells in module and module configuration	1P16S
Whether UL 1973 compliant	Module not compliant with UL 1973
Module voltage corresponding to the tested SOC	53.9 V
Method used to initiate thermal runaway	Heating the cell with externally applied 2 pieces flexible film heaters that cover each large surface of the cell. Film heater specifications: 101.6 mm × 147 mm (250W/pcs)
Thermal runaway of other cells within module:	Thermal runaway was observed on 8 cells on the same row with the initiating cell. Thermal runway was not observed on 8 cells on the opposite row.
Heat release rate versus time data	see Attachment 7 and Attachment 9
Peak smoke release rate and total smoke release data	see Attachment 8 and Attachment 9
Flammable gas generation and composition data	see Table 2 and Attachment 6
Observation(s) of flying debris:	No
Observation(s) of explosive discharge of gas:	No
Observation(s) of sparks, electrical arcs or other electrical events:	No
Locations and visual estimations of flame	N/A
Re-ignitions	No
Performance - module level test:	
a) Thermal runaway is contained by module design; and	Thermal runaway was contained by module design.
b) Cell vent gas is nonflammable as determined by the cell level test.	Cell vent gas is flammable according to cell level test report (external report with project number 4790342745).
Performance - cell level test:	
a) Thermal runaway cannot be induced in the cell; and	Thermal runaway occurred according to cell level test report (external report with project number 4790342745).
b) The cell vent gas does not present a flammability hazard when mixed with any volume of air, as determined in accordance with ASTM E918 at both ambient and vent temperatures.	Cell vent gas present flammability hazard according to cell level test report (external report with project number 4790342745).

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Additional information on non-standard test method(s)			
Sub clause:	N/A		
Page:	N/A		
Rational:	N/A		

#### Possible test case verdicts:

test case does not apply to the test object:	N/A (not applicable / not included in the order)
test object does meet the requirement:	P (Pass)
test object does not meet the requirement:	F (Fail)

#### General remarks:

"(see remark #)" refers to a remark appended to the report.

"(see appended table)" refers to a table appended to the report.

Throughout this report **a**  $\Box$  **Comma** /  $\Box$  **Point** is used as the decimal separator.

The test results presented in this report relate only to the object tested.

This report shall not be reproduced except in full without the written approval of the testing laboratory.

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	MOD	ULE LEVEL		
Clause	Requirement + Test		Result – Remark	Verdict
	INTRODUCTION			
1.	Scope			
2	Units of Measurement			
3	Normative References			—
4	Glossary			—

#### CONSTRUCTION

5.	General		
5.1	Cell		
5.1.1	The cells associated with the BESS that were tested shall be documented in the test report, including cell chemistry (e.g. NMC, LFP), the physical format of the cell (i.e. prismatic, cylindrical, pouch), cell electrical rating in capacity and nominal voltage, the overall dimensions of the cell, and weight.		Ρ
5.1.2	The cell documentation included in the test report shall indicate if the cells associated with the BESS comply with UL 1973.	Note: Cell complied with UL 1973; Certificate Number: UL-CA-2236692-0	Ρ
5.1.3	Refer to 7.6.1 for further details to be included in the cell level test report		Р
5.2	Module		
5.2.1	The modules associated with the BESS that were tested shall be documented in the test report, including the generic (e. g., metallic or nonmetallic) enclosure material, the general layout of the module contents and the electrical configuration of the cells in the modules and the modules in the BESS.	Module consists of a metallic enclosure material. Further details of the layout and module contents see Attachement 1.	Ρ
5.2.2	The module documentation included in the test report shall indicate if the modules associated with the BESS comply with UL 1973.	Module is not compliant with UL 1973.	N/A
5.2.3	Refer to 8.3 for further details to be included in the module level test report.		Р
5.3	Battery energy storage system unit		—
5.4	Flow Batteries		

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No.15 Factory Building A, Jintong International Industrial Park, No.8 Xihu Road, Changzhou, Jiangsu, 213164 P. R. China 

	MODULE LEVEL		
Clause	Requirement + Test	Result – Remark	Verdict
	PERFORMANCE		•
6	General		
6.1	The tests in this standard are extreme abuse conditions conducted on electrochemical energy storage devices that can result in fires, explosions, smoke, off gassing of flammable and toxic materials, exposure to toxic and corrosive liquids, and potential exposure to hazardous voltages and electrical energy. See Annex B for recommended testing practices.		Р
6.2	At the conclusion of testing, samples shall be discharged in accordance with the manufacturer's specifications. All samples shall be disposed of in accordance with local regulations.		Р
7	Cell Level		
•			
8	Module Level		
8.1	Sample		
8.1.1	Module samples shall be conditioned, prior to testing, through charge and discharge cycles for a minimum of 2 cycles, using a manufacturer specified methodology to verify that the module is functional. Each cycle shall be defined as a charge to 100% SOC and allowed to rest a maximum of 8 h and then discharged to an end of discharge voltage (EODV) specified by the module manufacturer. During conditioning the ambient temperature and conditions shall be maintained in accordance with 8.2.1.	See Attachment 2: Pre- conditioning profile. Charging method: Charge at constant current 50 A until voltage reaches 57.6 V. Discharge method: Discharge at constant current 50 A till the voltage of battery reaches 45.5 V.	P
8.1.2	The module to be tested shall be charged to 100% SOC and allowed to rest a maximum of 8 h before the start of the test. The module voltage shall be determined by measuring at the module terminals after charging up to the fully charged condition and before beginning testing. The sample module shall stabilize for a minimum of one hour prior to testing	See Table 1.	P
8.1.3	Electronics and software controls such as the battery management system (BMS) are not relied upon for this testing.		Р
8.2	Test method		
8.2.1	Ambient indoor laboratory conditions shall be 25 $\pm$ 5°C (77 $\pm$ 9°F) and 50 $\pm$ 25% RH at the initiation of the test.	see Table 1.	Р

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	MODULE LEVEL		
Clause	Requirement + Test	Result – Remark	Verdict
8.2.2	The test shall be conducted under a smoke collection hood that is sized appropriately to collect the gasses generated from the module.		P
8.2.3	The weight of the module shall be recorded before and after testing is completed to determine weight loss.	See Table 1.	Р
8.2.4	The number of cells within the module that are forced into thermal runaway can be one or multiple cells, and is dependent upon the energy contained within the individual cells. A sufficient number of cells shall be forced into thermal runaway to create a condition of cell to cell propagation within the module. For example, it may be necessary to force nine, 3-Ah cells into thermal runaway as opposed to one, 30-Ah cell in order to get cell to cell propagation. The location of the cell (s) forced into thermal runaway shall be selected to present the greatest thermal exposure to adjacent cells that are not forced into thermal runaway. Factors to be taken into consideration shall include selecting locations within the module where heat transfer is maximized to other cells, cooling by ventilation is restricted or limited, and thermal sensors, detection and suppression discharge points are remote.	Cell to cell propagation occurred within the module.	P
8.2.5	The methodology used for initiating thermal runaway pursuant to 7.2 shall be used to initiate thermal runaway within the module.	Two film heaters were used to initiate thermal runaway. 101.6 mm × 147 mm (220 VDC, 250W) film heater was covered on each large surface of the initiating cell.	P
8.2.6	With reference to 8.2.5, occurrence of thermal runaway shall be verified by sustained temperature above the cell surface temperature at the onset of thermal runaway, as determined in Section 7.		Р
8.2.7	The module shall be placed on top of a noncombustible horizontal surface with the module orientation representative of its intended final installation.	See Figure 1 of Attachment 3.	P
8.2.8	The chemical heat release rate of the module in thermal runaway shall be measured with oxygen consumption calorimetry.	See Attachment 7 and 9.	Р
8.2.9	The chemical heat release rate shall be measured for the duration of the test. See 8.2.10.	See Attachment 7 and 9.	Р
8.2.10	The chemical heat release rate shall be measured by a measurement system consisting of a paramagnetic oxygen analyzer, non-dispersive infrared carbon dioxide and carbon monoxide analyzer, velocity	See Attachment 7 and 9.	P

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	MODULE LEVEL		
Clause	Requirement + Test	Result – Remark	Verdict
	probe, and a Type K thermocouple. The instrumentation shall be located in the exhaust duct of the heat release rate calorimeter at a location that minimizes the influence of bends or exhaust devices. See 8.2.11.		
8.2.11	With reference to 8.2.10, calculate the chemical heat release rate at each of the flows as follows: $HRR_{1} = \left  \vec{e} \cdot q - (\vec{E}_{m} - \vec{E}) \times \frac{1 - q}{2} \times \frac{X_{m}}{X_{0}} \right  \times \frac{\dot{m}_{e}}{1 + q \times (q - 1)} \times \frac{M_{0}}{M_{e}} \times (1 - X_{mp}^{*}) \times X_{0}^{*}$		Ρ
8.2.12	Vent gas composition shall be measured using a Fourier-Transform Infrared Spectrometer with a minimum resolution of 1 cm-1 and a path length of at least 2 m (6.6 ft), or equivalent gas analyzer, and velocity and temperature measurements respectively shall be obtained in the exhaust duct of the heat release rate calorimeter using equipment specified in 8.2.10.	See Table 2 and Attachment 6.	Ρ
8.2.13	The hydrocarbon content of the vent gas shall be measure using flame ionization detection. Hydrogen gas shall be measured with a palladium-nickel thin- film solid state sensor.	See Table 2 and Attachment 6.	Р
8.2.14	The light transmission in the exhaust duct of the heat release rate calorimeter shall be measured using a white light source and photo detector for the duration of the test, and the smoke release rate shall be calculated. See 8.2.15.	See Attachment 7 and 8.	Р
8.2.15	Smoke release rate shall be calculated as follows: $SRR = 2.303 \left(\frac{V}{D}\right) Log_{10} \left(\frac{I_0}{I}\right)$		Р
8.3	Module level test report		
8.3.1	The report on module level testing shall include the following:	(See appended table)	Р
	a) Module manufacturer name and model number (and whether UL 1973 compliant)	Name of the manufacturer: Shanghai PYTES Energy Co., Ltd. Model no.: HV48100 BMU Module is not compliant with UL 1973.	P
	b) Number of cells in module;	16 cells in module.	Р
	c) Module configuration with cells in series and parallel;	1P16S	Р
	d) Module construction features per 5.2;	See Attachment 1.	Р
	e) Module voltage corresponding to the tested SOC;	See Table 1.	Р

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	MODULE LEVEL		
Clause	Requirement + Test	Result – Remark	Verdict
	f) Thermal runaway initiation method used including number and locations of cells for initiating thermal runaway;	See Table 1 and Attachment 1.	Р
	g) Heat release rate versus time data;	See Attachment 7 and 9.	Р
	h) Flammable gas generation and composition data;	See Table 2 and Attachment 6.	Р
	i) Peak smoke release rate and total smoke release data.	See Attahment 8 and 9.	Р
	<ul> <li>j) Observation(s) of flying debris or explosive discharge of gases;</li> </ul>	See Table 1.	Р
	<ul> <li>k) Observation(s) of sparks, electrical arcs, or other electrical events;</li> </ul>	See Table 1.	Р
	<ul> <li>I) Identification/location of cells(s) that exhibited thermal runaway within the module;</li> </ul>	See Table 1.	Р
	<ul> <li>m) Locations and visual estimations of flame extension and duration from the module shall be documented;</li> </ul>	See Table 1.	Р
	n) Module weight loss based on measurements per 8.2.3; and	See Table 1	Р
	o) Video of the test.		Р
8.4	Performance at module level testing	-	
8.4.1	Unit level testing in Section 9 is not required if the following performance conditions are met during the module level test:		F
	a) Thermal runaway is contained by module design; and		Р
	b) Cell vent gas is nonflammable as determined by the cell level test.	Cell vent gas is flammable according to cell level test report (external report with project number 4790342745).	F
9	Unit Level		_
•			
10	Instalaton Level		—
ANNEX A	Test Concepts And Application Of Test Results To	Installations (informative)	
A1	Introduction		N/A
A2	Test Methodology and Purpose		N/A
A3	Evaluating the Results		N/A

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	MODULE LEVEL				
Clause	Requirement + Test	Result – Remark	Verdict		
ANNEX B	Safety Recommendations for Testing (informative	:)			
B1	General		Р		
			•		

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1	TABL	E: Critical compon	ents informatio	n		
Object/part no.	t	Manufacturer/ trademark	Type/model	Technical data	Standard	Mark(s) of conformity
Rechargeabl Lithium-ion Co				3.2Vd.c., 100Ah	ANSI/CAN/UL 1973: 2022	UL MH64238
Supplementa	Supplementary information: N/A					

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# MODULE LEVEL TEST RESULT:

Table 1: Thermal runaway test result				
Initial ambient temperature:	24.9 °C			
Initial relative humidity:	52% RH			
Pre-conditioning time	From 2024-01-29 16:17:46 to 2024-01-30 10:37:34			
Thermal runwaway test start time	2024-01-30 13:33:14			
Module voltage (OCV) before test:	53.9 V			
Methods used to initiate thermal runaway	Heating the cell with externally applied flexible film heater			
Average heating rate:	T12: 4.85 K/min, T13: 4.85 K/min			
Surface temperature at which gases were first vented:	T12: 195.4 °C, T13: 195.4 °C, T5: 131.5 °C			
Time when gases were first vented:	Cell 5: 2024-01-30 14:08:02			
Surface temperature prior to thermal runaway:	T12: 126.7 °C, T13: 263.7 °C, T5: 145.4 °C			
Time when thermal runaway:	Cell 5: 2024-01-30 14:30:30			
Module voltage (OCV) after test:	26.56 V			
Location of cell(s) for intiating thermal runaway	Cell 5 (see Attachment 1)			
Thermal runaway of other cells within module:	Thermal runaway was observed on 8 cells on the same row with the initiating cell.			
	Thermal runway was not observed on 8 cells on the opposite row.			
Observation(s) of flying debris:	No			
Observation(s) of explosive discharge of gas:	Νο			
Observation(s) of sparks, electrical arcs or other electrical events:	No			
Locations and visual estimations of flame	N/A, no flames observed.			
Module weight before test:	43.4 kg			
Module weight after test:	40.6 kg			
Module weight loss:	2.8 kg			

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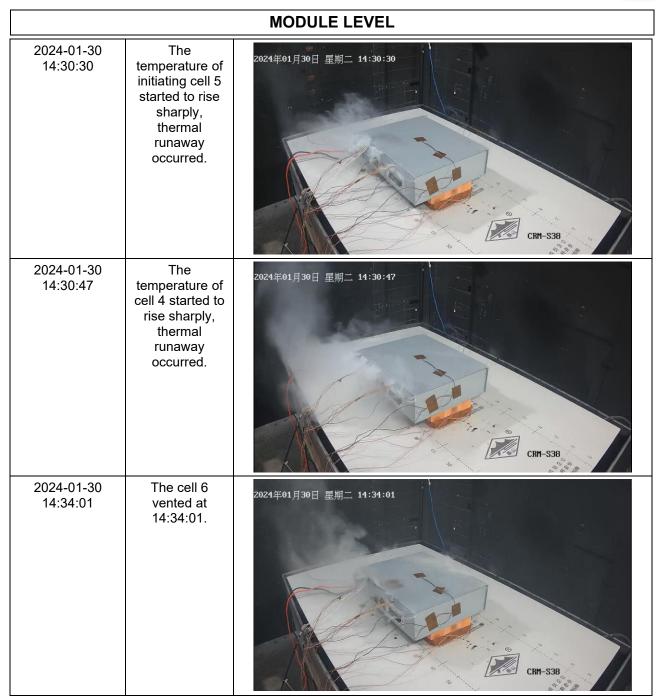
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MODULE LEVEL					
	Timeline of thermal runaway				
Time (hh:mm:ss)	Event	Description			
2024-01-30 13:33:14	Start testing.	2024年01月30日 星期二 13:33:14			
2024-01-30 14:08:02	The initiating cell 5 first vented at 14:08:02. Meanwhile, one film heater got damaged / stopped working, the value of T12 had decreased from 193.2 °C to 179.4 °C.	2024年01月30日 星期二 11:08:02			
2024-01-30 14:27:49	The cell 4 vented at 14:27:49.	2024年01月30日 星期二 14:27:49			

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		MODULE LEVEL
2024-01-30 14:34:12	The cell 3 vented at 14:34:12.	2024年01月30日 星期二 14:34:12
2024-01-30 14:34:27	The temperature of cell 6 started to rise sharply, thermal runaway occurred.	2024年01月30日 星期二 14:34:27
2024-01-30 14:37:12	The temperature of cell 3 started to rise sharply, thermal runaway occurred.	2024年01月30日 星期二 14:37:12

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		MODULE LEVEL
2024-01-30 14:39:08	The cell 2 vented at 14:39:08.	2024年01月30日 星期二 14:39:08
2024-01-30 14:41:28	The cell 7 vented at 14:41:28.	2024年01月30日 星期二 14:41:28
2024-01-30 14:42:54	The temperature of cell 2 started to rise sharply, thermal runaway occurred.	2024年01月30日 星期二 14:42:54

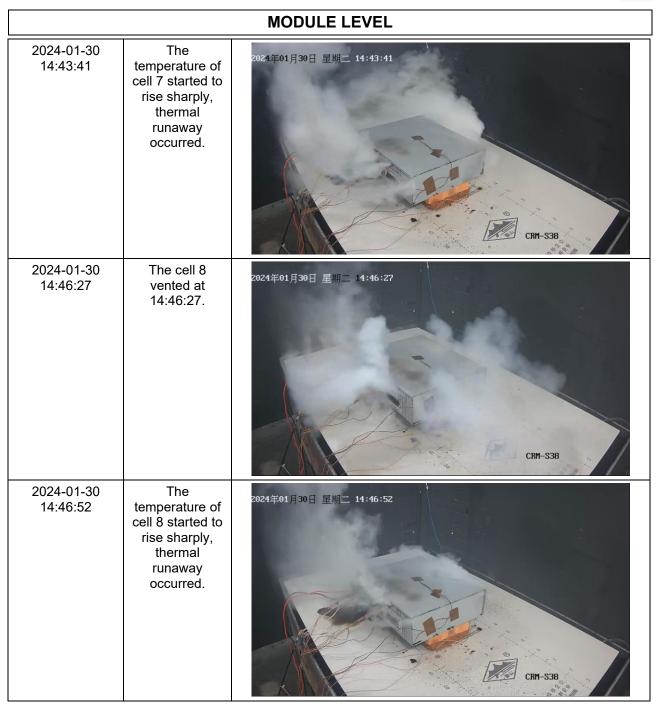
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	MODULE LEVEL				
2024-01-30 14:47:46	The cell 1 vented at 14:47:46.	2024年01月30日 星期二 14:47:46 CRH-S3B			
2024-01-30 14:49:46	The temperature of cell 1 started to rise sharply, thermal runaway occurred.	2024年01月30日 星期二 14:49:46			
2024-01-30 15:36:00	No smoke was observed	2024年01月30日 星期二 15:36:00			
Remark: Refer to a	attachment 3 for de	etails of sample before test and test setup with thermocouple location.			

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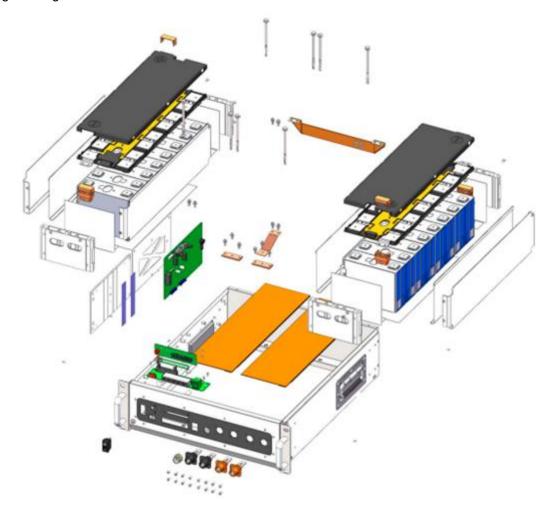
Table 2: Vent gas composition					
Composition	Chemical formula	Measurement peak (ppm)	Analysis Method		
Carbon monoxide	СО	55.8	FTIR		
Carbon dioxide	CO2	552.8	FTIR		
Methane	CH4	22.4	FTIR		
Acetylene	C2H2	1.5	FTIR		
Ethene	C2H4	25.3	FTIR		
Ethane	C2H6	6.1	FTIR		
Propane	C3H8	11.2	FTIR		
Butane	C4H10	/	FTIR		
Pentane	C5H12	/	FTIR		
Benzene	C6H6	/	FTIR		
Hexane	C6H14	/	FTIR		
Hydrofluoric acid	HF	27.1	FTIR		
Hydrogen chloride	HCI	0	FTIR		
Hydrogen	H2	0	Hydrogen sensor		
Total Hydrocarbons	(Methane Equivalent)	635.3	FID		
Flow rate in exhaust due	ct (m <sup>3</sup> /s)	1.	5		

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Attachment 1: Exploding drawing of module & Identification/location of cells within the module Exploding drawing of module as below:

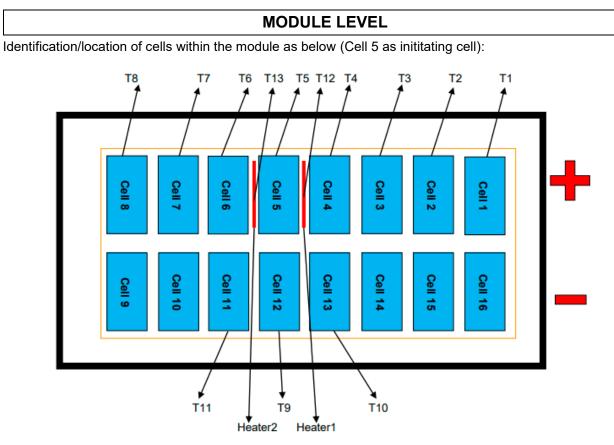


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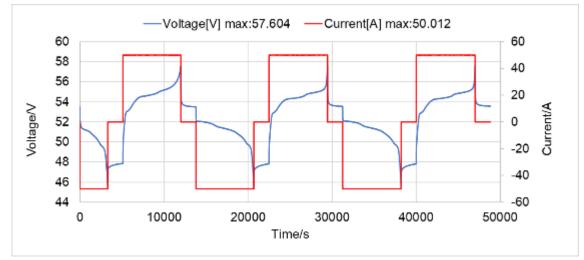
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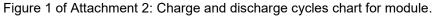
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#### Attachment 2: Pre-conditioning profile





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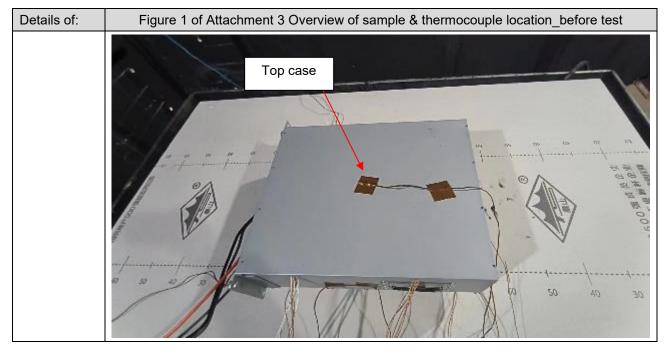
Telephone : 0519-81098308

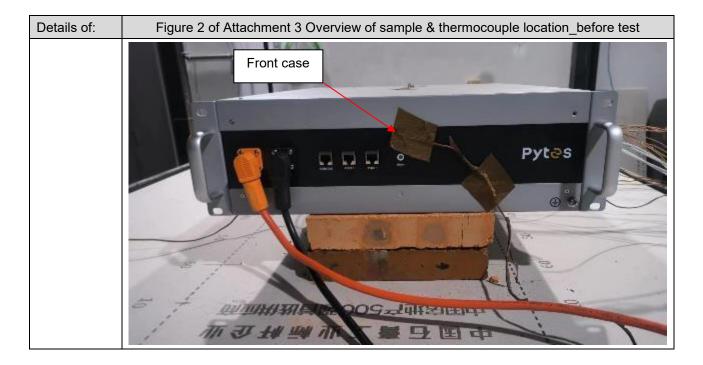
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#### Attachment 3: Photo for sample before test and test setup with thermocouple location





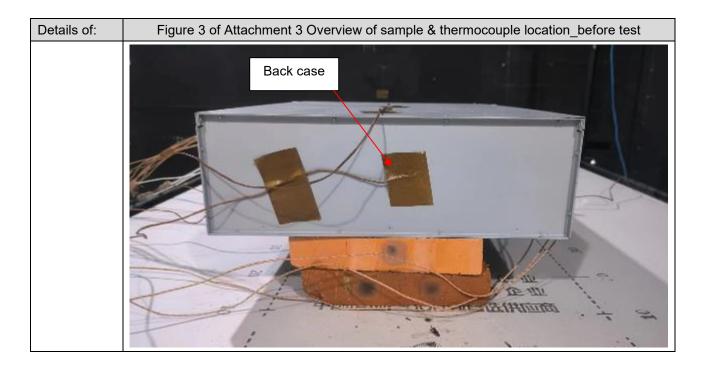
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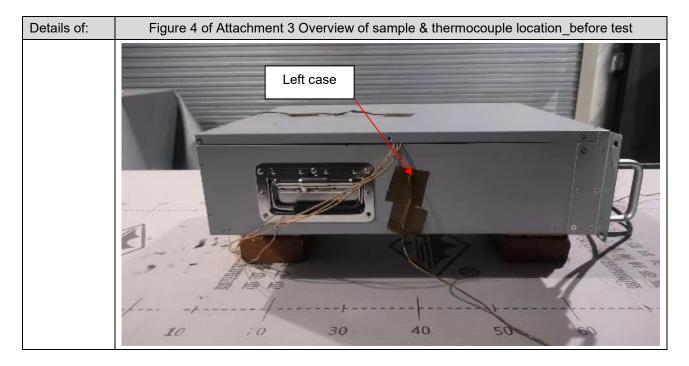
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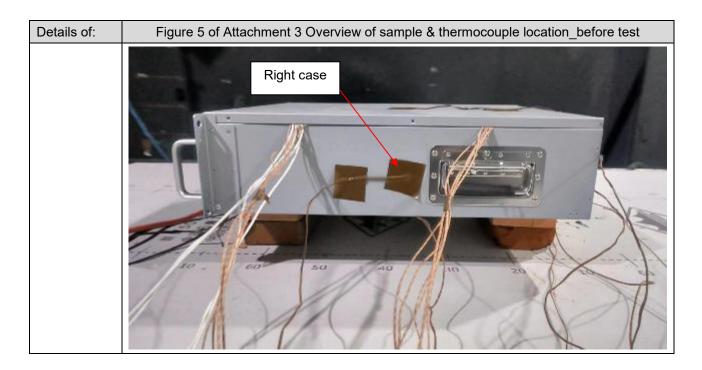
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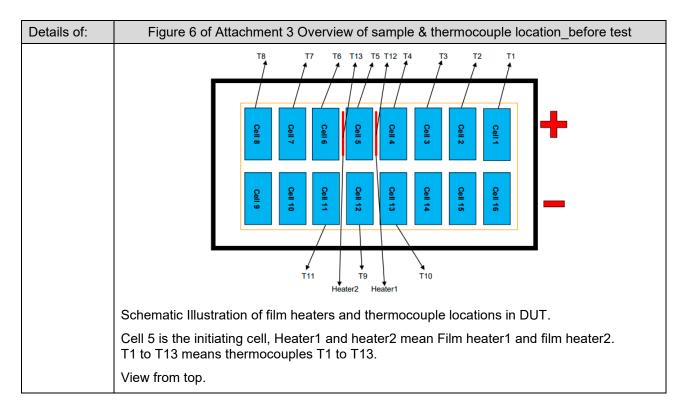
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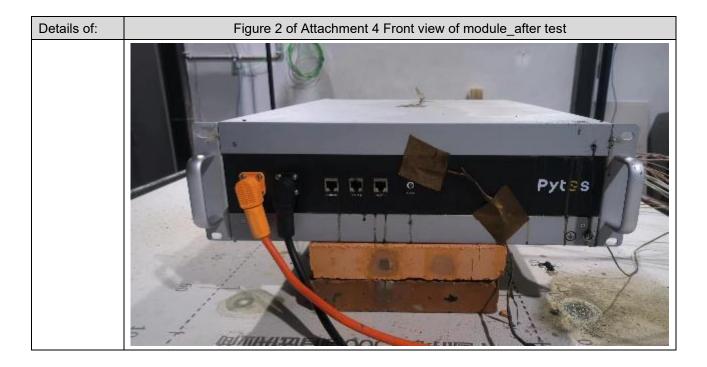
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# MODULE LEVEL Attachment 4: Photo for sample after test Details of: Figure 1 of Attachment 4 Top view of module\_after test Image: Second colspan="2">Image: Second colspan="2" Image: Second colspan="2



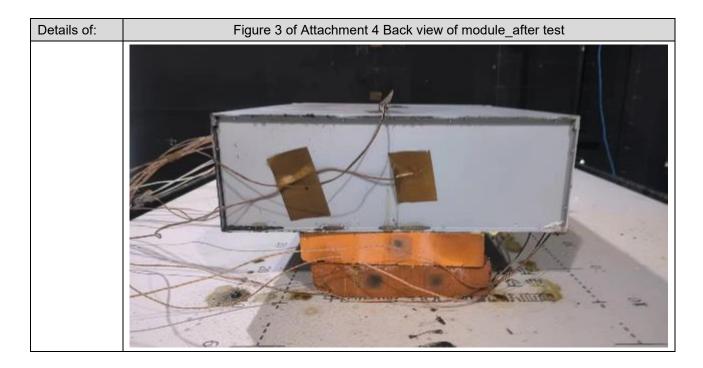
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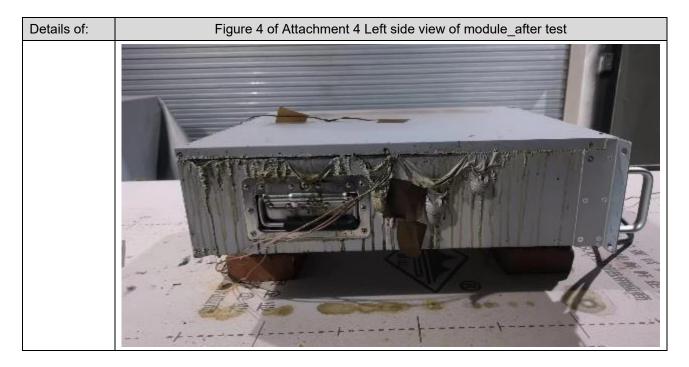
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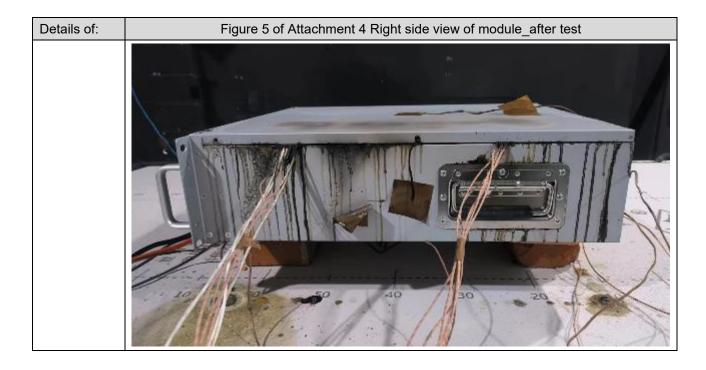
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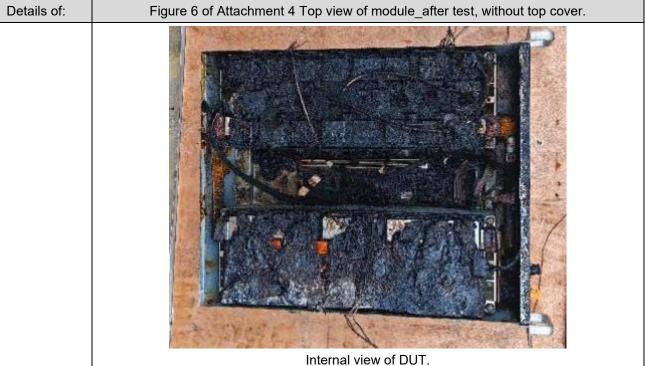
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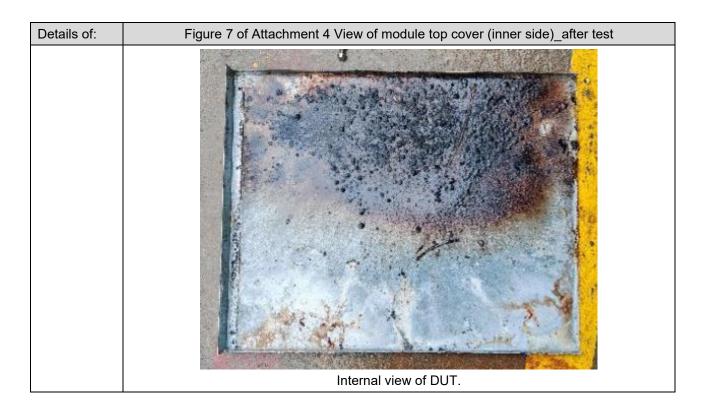


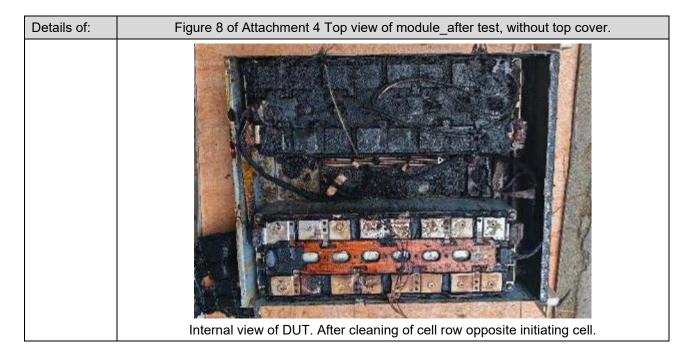
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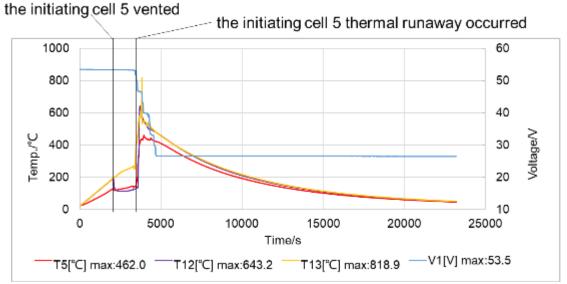
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#### Attachment 5: Monitored voltage and temperature chart



#### Figure 1 of Attachment 5: temperature of initiating cell in module

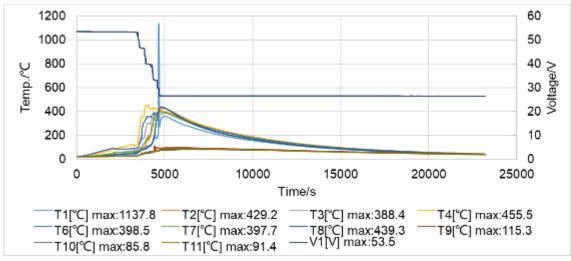


Figure 2 of Attachment 5: temperature of other cells in module.

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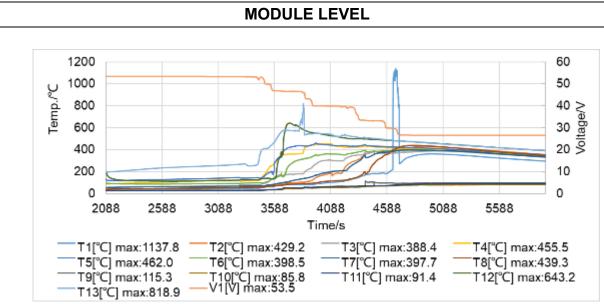


Figure 3 of Attachment 5: Zoomed view of temperature, including initiating cell and other cells.

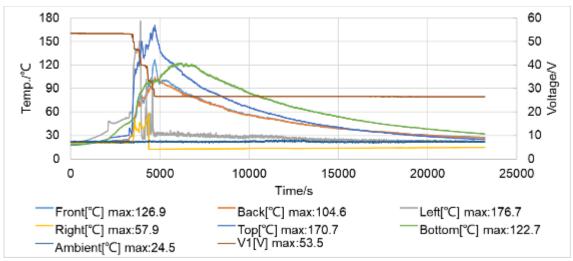


Figure 4 of Attachment 5: temperature of module case.

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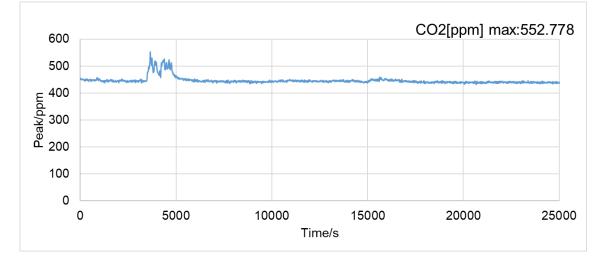
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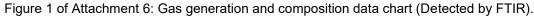
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Attachment 6: Flammable gas generation and composition data chart





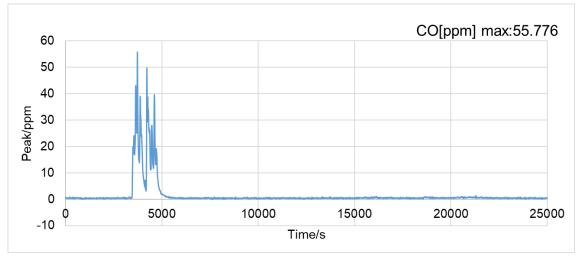


Figure 2 of Attachment 6: Gas generation and composition data chart (Detected by FTIR).

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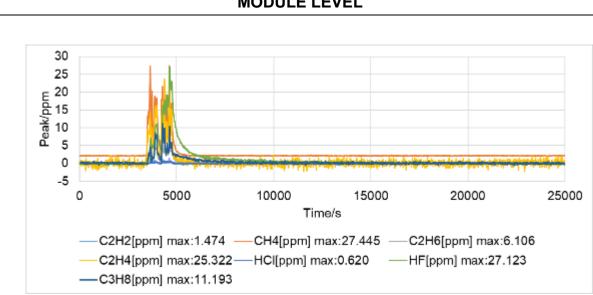


Figure 3 of Attachment 6: Gas generation and composition data chart (Detected by FTIR).

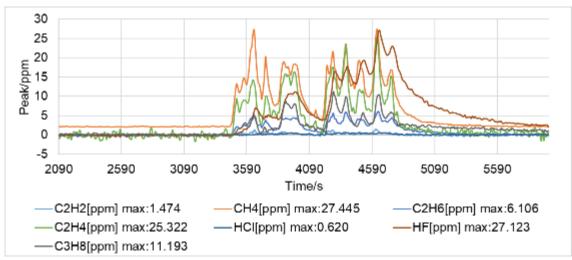


Figure 4 of Attachment 6: Zoomed view of Gas generation and composition data chart (Detected by FTIR).

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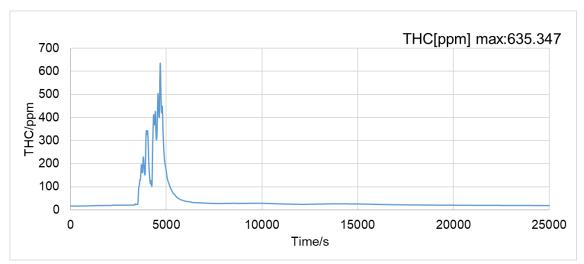
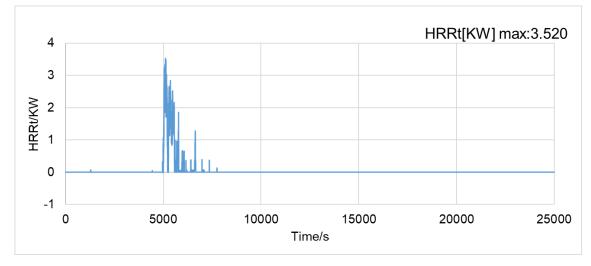


Figure 5 of Attachment 6: THC (Total Hydrocarbons) chart (Detected by FID).

#### Attachment 7: Heat release rate versus time data chart



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#### SRR[m2/s] max:2.365 3 2 SRR/m2/s 1 0 -1 0 5000 10000 15000 20000 25000 Time/s TSR[m2] max:773.430 1000 800 600 TSR/m2 400 200 0 -200 0 5000 10000 15000 20000 25000 Time/s

### **MODULE LEVEL**



#### Attachment 9: Summary of Heat release rate & Peak smoke release rate and total smoke release data

Peak heat release rate	3.520 kW
Total smoke production	773.430 m <sup>2</sup>
Peak smoke production rate	2.365 m²/s

#### ----- END REPORT ------

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